

1           **A Vector Theory for Forward Propagation in a**  
2           **Structured Ionosphere with Surface Reflections**

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5           **Key Points:**

- 6           • In an earlier publication the scalar forward propagation equation was generalized  
7           to accommodate HF vector fields  
8           • This companion publication incorporates reflections from a smoothly varying con-  
9           ducting boundary surface  
10          • A comparison of collimated beam intensity with ray tracing shows a persistent but  
11          correctable bias

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## Abstract

In a previous publication the forward propagation equation was generalized to accommodate vector propagation at HF frequencies. Solutions obtained with split-step integration were demonstrated for unbounded propagation and for a Chapman layer above a plane perfectly conducting boundary. This paper extends the solution to accommodate reflections from a smoothly varying boundary. A complete solution requires calculation of induced sources on the boundary surface. The fields from the induced sources, when combined with the incident field, satisfy the boundary conditions for the incident field vector components. Calculation of the induced sources can be incorporated in the split-step integration of the forward propagation equation. Alternatively, a variant of image theory reproduces the essential characteristics of the more computationally intensive boundary-integral methods. The paper concludes with ray-trace comparisons, which reveal a persistent but correctable bias.

## 1 Introduction

In a previous publication (C. Rino & Carrano, 2021), the forward propagation equation (FPE) was generalized to accommodate vector fields in the Earth's ionosphere at HF frequencies. Hereafter, we will refer to the generalized equation as the vector forward propagation equation (VFPE). The two-dimensional form of the VFPE confines propagation vector components to the  $yz$  plane. Although the field structure is invariant in planes displaced from the  $yz$  plane, the VFPE,

$$\frac{\partial \mathbf{E}(y, z)}{\partial z} = \Theta \mathbf{E}(y, z) + i \frac{k}{2} \Delta X(y, z) \bar{\chi} \mathbf{E}(y, z), \quad (1)$$

characterizes the progression of the three-dimensional electric field vector

$$\mathbf{E}(y, z) = E_x(y, z) \mathbf{u}_x + E_y(y, z) \mathbf{u}_y + E_z(y, z) \mathbf{u}_z. \quad (2)$$

The free-space propagation operation  $\Theta \mathbf{E}(y, z)$  advances each vector component independently:

$$E_u(y, z + \Delta z) = \int \hat{E}_u(\kappa_y, z) \exp\{ikg(\kappa)\Delta z\} \exp\{iy\kappa_y\} \frac{d\kappa_y}{2\pi} \quad (3)$$

where

$$\hat{E}_u(\kappa_y, z) = \int E_u(y, z) \exp\{-iy\kappa_y\} dy, \quad (4)$$

with  $u = x, y, \text{ or } z$ . The two-dimensional propagation vector,  $\mathbf{k}$ , is defined by the spatial wavenumber,  $\kappa_y$ , and the constant magnitude,  $k = 2\pi f/c$ , where  $f$  denotes frequency and  $c$  is the vacuum velocity of light:

$$\mathbf{k} = [0, \kappa_y/k, g(\kappa_y)] \quad (5)$$

$$g(\kappa_y) = \sqrt{1 - (\kappa_y/k)^2} \quad (6)$$

The product  $\Delta X(y, z) \bar{\chi}$  represents the susceptibility tensor<sup>1</sup>, which is defined in the appendix of (C. Rino & Carrano, 2021). Structure variation is confined to the scalar multiplier  $X(y, z)$ . The complete susceptibility tensor characterizes the local interaction of the vector field in response to the ionosphere with a uniform background magnetic field. The direction of the magnetic field determines the components of the  $3 \times 3$  matrix,  $\bar{\chi}$ , which has 3 distinct eigenvectors. All three eigenvectors are used in the split-step integration of the VFPE as described in (C. Rino & Carrano, 2021).

<sup>1</sup> The dielectric tensor is defined as  $\bar{\epsilon} = \bar{I} + \Delta X \bar{\chi}$ .

46 Vector fields are initiated in the  $xy$  plane at  $z = 0$  by specifying  $E_x(y, 0)$  and  $E_y(y, 0)$   
 47 normalized to unit total intensity. The  $E_z(y, 0)$  component is set to zero but could be  
 48 specified if it were meaningful to do so. Either linear or circular polarization can be used.

49 Chapter 4.8 in (Budden, 1985) discusses energy conservation. For propagation oblique  
 50 to the magnetic field direction interaction with the ionosphere will generate a finite  $E_z$   
 51 field component. When this happens energy flow does not follow the direction of prop-  
 52 agation. Energy is conserved, although energy stored in the magnetic field can reduce  
 53 total field intensity carried by the  $E_x$  and  $E_y$  components. In the simulations energy is  
 54 absorbed at the upper boundary to mimic propagation outside the measurement space.

55 Specification of the incident field, the magnetic field vector, and  $X(y, z)$  completely  
 56 define field realizations. The propagation problems of primary interest involve a radi-  
 57 ally varying electron density with embedded structure. Time-harmonic field solutions  
 58 are formally snapshots that remain invariant over the time a waveform comprised of a  
 59 band of frequencies traverses the realization space. The frequency dependence of the field  
 60 components imposes a group-velocity constraint. Determining paths that wave packets  
 61 can follow is a secondary computation most often guided by ray-tracing. We expect an  
 62 upward propagating beam, upon interacting with the ionosphere, to split into ordinary  
 63 (O) and extraordinary (X) modes with complementary polarizations that provide a basis  
 64 for mode separation.

65 Real-world applications invariably involve surface reflections. The same theory that  
 66 produced the first-order VFPE differential equation can be adapted to incorporate sur-  
 67 face reflections. The solution involves induced sources on the surface that function in the  
 68 same way as the induced ionospheric sources. Calculating induced sources on the bound-  
 69 ary requires the separate solution of boundary integral equations (BIEs). Once the in-  
 70 duced sources are calculated, the total field above the surface can be constructed. Upon  
 71 introducing the forward approximation, the BIE solution and the field reconstruction can  
 72 be incorporated in the split-step integration of the VFPE. However, the computations  
 73 require sub-wavelength sampling and careful accommodation of the Green-function sin-  
 74 gularity on the surface.

75 Surface reflections have been accommodated in scalar FPE simulations by using  
 76 a variant of image theory, which is referred to as *shift mapping* (Donohue & Kuttler, 2000).  
 77 The shift mapping operation involves an adjustment of the computation reference to the  
 78 next stair-step surface height. The method is most often used in conjunction with impedance  
 79 boundary conditions. FPE solutions in unbounded media typically use propagation steps  
 80 exceeding hundreds of wavelengths. However, to minimize the effects of the step approx-  
 81 imation discontinuities, sampling at near-wavelength must be used. A demonstration of  
 82 split-step VFPE integration that fully accommodates a perfectly conducting spherical-  
 83 earth boundary is demonstrated, although the image method is satisfactory for most ap-  
 84 plications.

## 85 2 Surface Reflections

86 Boundary surfaces delineate discontinuous changes in the propagation medium. In-  
 87 duced surface currents must flow on the boundaries to support the discontinuous field  
 88 changes. Although a discontinuous boundary is an idealization, experience has shown  
 89 that fields above an ideal boundary surface capture the critical characteristics of scat-  
 90 tered or reflected fields from real surfaces.

### 91 2.1 Boundary Integral Methods

92 Two-dimensional boundary surfaces intersect the  $yz$  plane along a contour. The  
 93 simplest contour is defined by a single-valued function  $f(z)$  such that  $y = f(z)$  defines

94 the surface height at  $z$ . Let  $E_{\parallel}(y, z)$  denote the surface-parallel  $x$  or  $z$  field components,  
 95 which are treated identically. The  $E_y(y, z)$  component is perpendicular to the surface.  
 96 A standard text-book exercise will show that total fields above the surface ( $y > f(z)$ )  
 97 are characterized by the following equations:

$$E_{\parallel}(y, z) = E_{\parallel}^0(y, z) - \frac{i}{4} \int_0^{\infty} H_0^0(k\rho(y, f(z'); z, z')) S_{\parallel}(z') dz' \quad (7)$$

$$E_y(y, z) = E_y^i(y, z) + \frac{i}{4} \int_0^{\infty} \frac{\partial H_0^{(1)}(k\rho)(y, f(z'); z, z')}{\partial N'} S_y(z') dz' \quad (8)$$

98 where  $H_0^{(1)}(z)$  is the first-kind Hankel function of order 0. The theory can also be for-  
 99 mulated with a second-kind Hankel function, which reverses the sign of the radial vari-  
 100 able. The free propagation of the incident fields initiated at  $z = 0$  are denoted by the  
 101 0 superscript. Detailed developments can be found in Chapter 3 in (Morita et al., 1990)  
 102 or Appendix A.5 in (C. L. Rino, 2011).

103 The boundary-integral equations (BIEs) that define the induced source functions,  
 104 are obtained by calculating the field and its normal derivative on the surface. The equa-  
 105 tions are written here as

$$\frac{1}{2} \frac{\partial E_{\parallel}(f(z), z)}{\partial N} = \frac{\partial E_{\parallel}(f(z), z)^0}{\partial N} - \frac{i}{4} \int_{z_0}^{\infty} \frac{\partial H_0^{(1)}(k\rho(f(z), f(z'); z, z'))}{\partial N} \frac{\partial E_{\parallel}(f(z'), z')}{\partial N} dz' \quad (9)$$

$$\frac{1}{2} E_y(f(z), z) = E_y(f(z), z)^0 + \frac{i}{4} \int_{z_0}^{\infty} \frac{\partial H_0^{(1)}(k\rho(f(z), f(z'); z, z'))}{\partial N'} E_p(f(z'), z') dz'. \quad (10)$$

106 where

$$S_{\parallel}(z) = \partial E_{\parallel}(f(z), z) / \partial N \quad (11)$$

$$S_y(z) = E_y(f(z), z) \quad (12)$$

107 The normal derivative is defined as

$$\frac{\partial}{\partial N} = \frac{\partial}{\partial y} + \frac{\partial f}{\partial z} \frac{\partial}{\partial z}, \quad (13)$$

108 and

$$\Delta\rho(y, y'; z, z') = \sqrt{(y - y')^2 - (z - z')^2} \quad (14)$$

109 is the distance between  $(y, z)$  and  $(y', z')$ .

110 In (C. L. Rino & Ngo, 1997) it was shown that upon truncating the integrals in (9)  
 111 and (10) at  $z$ , which imposes the forward approximation, the following recursive solu-  
 112 tion can be obtained:

$$S_{\parallel, y}(z_{n+1}) = \left( S_{\parallel, y}^i(z_{n+1}) \mp \Lambda_{n+1, n} S_{\parallel, y}(z_n) \right) / (1/2 \pm \Lambda_{n+1, n+1}) \quad (15)$$

113 where

$$\Lambda_{n, m} = \int_{-\Delta z/2}^{\Delta z/2} \frac{k H_1^{(1)}(k \Delta\rho(f(z_m), f(z' - z_n); z_m, z' - z_n))}{\Delta\rho(f(z_m), f(z' - z_n); z_m, z' - z_n)} \varsigma(z_m, z') dz' \quad (16)$$

$$\varsigma(z_m, z') = (f(z_m) - y') - \frac{\partial f_z(z_m)}{\partial z} (z_m - z') \quad (17)$$

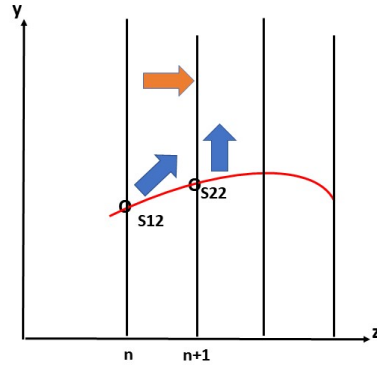
$$\Lambda_{n, n} = \frac{\partial^2 f(z_n)}{\partial^2 z} / \left( 1 + \frac{\partial^2 f(z_n)}{\partial^2 z} \right) \quad (18)$$

114 The upper sign is used for the parallel (Dirichlet) induced source. The lower sign is used  
 115 for the perpendicular (Neuman) induced source. The total field is advanced from  $z_n$  to  
 116  $z_{n+1}$  by evaluating the forward contributions to the fields at  $z_{n+1}$ :

$$E_{\parallel}(y, z_{n+1}) = E_{\parallel}^0(y, z_{n+1}) - \frac{i}{4} \int_{z_0}^{z_{n+1}} H_0^{(1)}(k\rho(y, f(z'); z_{n+\Delta z}, z')) S_{\parallel}(z') dz' \quad (19)$$

$$E_y(y, z_{n+1}) = E_y^0(y, z_{n+1}) + \frac{i}{4} \int_{z_0}^{z_{n+1}} \frac{\partial H_0^{(1)}(k\rho(y, f(z'); z_{n+\Delta z}, z'))}{\partial N'} S_p(z') dz' \quad (20)$$

117 The integrals in (19) and (20) have contributions from the induced source term at  
 118  $z_n$  and a singular contribution from the induced source at  $z_{n+1}$ . This is shown schemat-  
 119 ically in Figure 1. The blue arrows represent the contributions from induced sources. The  
 120 orange arrow represents the freely propagating field components. To complete the re-  
 121 cursion it is necessary to calculate the total field on the surface. For parallel components  
 122 the total field on the surface is zero, whereby computing the field on the surface and its  
 123 cancellation by the induced source is unnecessary. For the perpendicular component the  
 124 surface field is finite, whereby computing source term is necessary and demanding be-  
 cause of the Green function singularity on the surface.

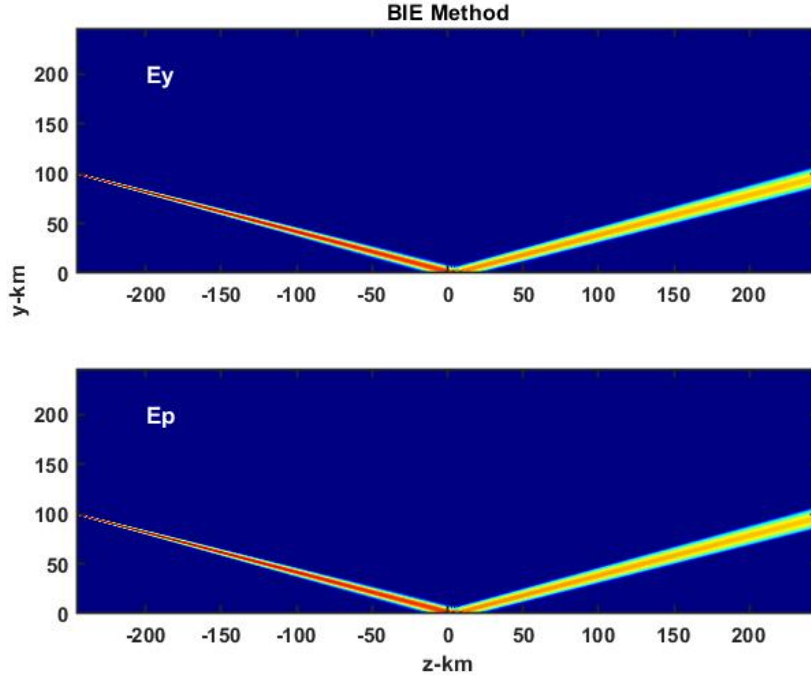


**Figure 1.** Schematic diagram of total field contributions for calculating the field at  $z_{n+1}$  from the known field and its sources at  $z_n$ .

125

126 If the total fields and their source functions are known at  $z = z_n$ , the source func-  
 127 tions can be advanced to  $z = z_{n+1}$  by using (15). The total field can then be updated  
 128 by evaluating (19) and (20). This was demonstrated in (C. L. Rino & Ngo, 1997) with  
 129 both sinusoidal and stochastic surface variations at 1 GHz, although it was stated er-  
 130 roneously that the source at  $z_{n+1}$  was unnecessary. The calculation is repeated here for  
 131 a plane conducting surface at 10 MHz. Figure 2 shows the intensities of the perpendicular  
 132 (upper frame) and parallel (lower frame) field components initiated by an  $E_y$  field  
 133 with the intensity and phase variation adjusted to launch a downward propagating beam.  
 134 The lower frame, which was initiated with an identical excitation field, could represent

135 either  $E_x$  or  $E_z$ . The surface reflection calculations here were performed for propaga-  
 136 tion in a vacuum. The sampling was adjusted to conserve the reflected field total intensi-  
 137 tity.



**Figure 2.** Field Intensity in dB units for perpendicular (upper frame) and parallel (lower frame) surface reflections from downward propagating 10 MHz beam.

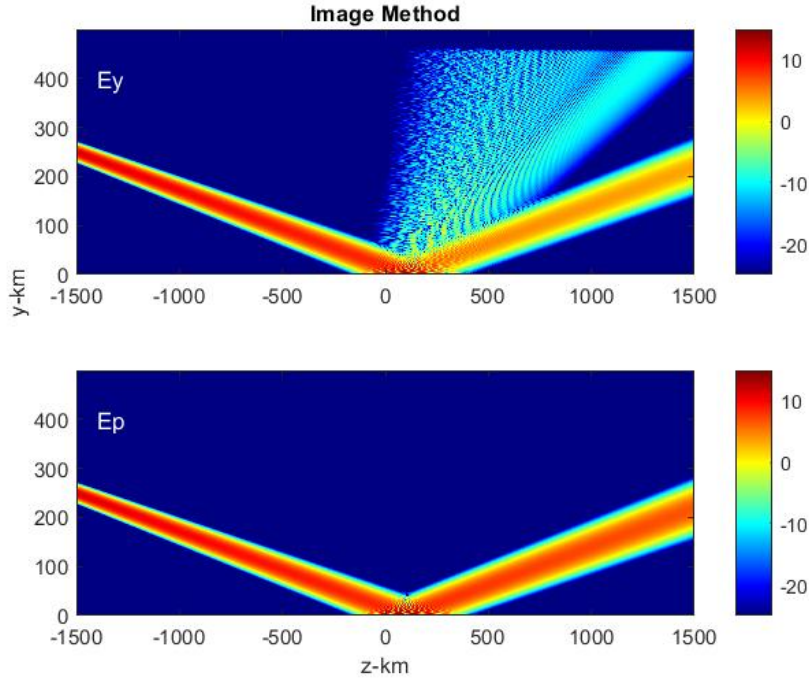
138 Note that the scalar field component intensities are independent and identical above  
 139 the surface. Impedance boundary conditions would couple the equations, whereby the  
 140 field component intensities would differ, reflecting the surface conditions. Either way, the  
 141 supporting sources and fields on the surface differ significantly. For now, only perfect con-  
 142 ductivity will be used. It has already been noted that for the parallel component the total  
 143 field on the surface is zero, which requires the incident field to cancel the source func-  
 144 tion field. For the perpendicular component the field on the surface is large. Consequently,  
 145 managing the singular contribution from Green function is more demanding. Further in-  
 146 sight can be gleaned from the method of images as discussed in the next section.

## 147 2.2 Image Theory Methods

148 It is well known that reflections from a perfectly conducting plane can be calcu-  
 149 lated by removing the surface and placing a positive (Neuman) or negative (Dirichlet)  
 150 image of the source below the surface. This is achieved formally by propagating the field  
 151 with only Fourier cosine (Neuman) or sine (Dirichlet) components. The field where the  
 152 original conducting surface existed is zero, which is automatic for an antisymmetric re-  
 153 flection. For forward propagation applications the image field is constructed at each prop-  
 154 agation step.

155 Applying the method of images requires only manipulation of Fourier transforms,  
 156 whereby representative data spaces are readily accommodated. Figure 3 shows a com-  
 157 putation for a 500-by-3000 km data space, with 32768  $y$  samples and 5000 VFPE steps,

158 which corresponds to 20 wavelengths per sample. The parallel component, which would  
 159 apply to  $E_x$  or  $E_z$  components, was computed with the Fourier sine series. The perpen-  
 160 dicular  $E_y$  field component was computed using the Fourier cosine series. The  $E_y$  field  
 161 shows spurious surface reflections, which can be explained by the fact that the cosine ba-  
 162 sis functions are finite at the surface. The fields being constructed are small near the sur-  
 163 face, whereby propagation-step errors are amplified. However, for perfectly conducting  
 164 surfaces, the fact that the scalar complex amplitudes of the two components are iden-  
 165 tical, can be exploited by using sine series, which is less sensitive to surface-field errors,  
 166 for both components.



**Figure 3.** Field intensity in dB units for symmetric (upper frame) and antisymmetric (lower frame) reflections of 10 MHz beam from a perfectly conducting plane surface.

167 In a later paper (C. L. Rino & Kruger, 2001), which provided the framework for  
 168 developing the VFPE, a variant of the recursion calculation was used. The induced sur-  
 169 face currents were computed using several integration steps. The finer propagation step  
 170 sampling improves the calculation of the surface reflection within the coarser propaga-  
 171 tion step typically used for incorporating the structure in the propagation medium.

172 A much simpler shift-mapping method was introduced by (Kuttler & Dockery, 1991)  
 173 and (Dockery & Kuttler, 1996). The only change needed to accommodate a non-planar  
 174 surface is the addition of a phase shift that translates the reference to the surface:

$$\exp\{ikg(\kappa_y)\Delta z\} \exp\{i\kappa_y(f(z_n) - f(z_{n-1}))\}. \quad (21)$$

175 It was shown in both (C. L. Rino & Ngo, 1997) and (C. L. Rino & Kruger, 2001) that  
 176 BIE and shift-map solutions agreed very well. However, the comparisons used sub-wavelength  
 177 propagation-step sampling for both the BIE and shift-map results. For accommodating  
 178 smooth surface reflections in VFPE split-step solutions we find that near-wavelength sam-  
 179 pling provides good results as indicated by total field intensity conservation through the  
 180 surface reflection.

### 3 VFPE and Ray Theory

Solutions to the wave equation and the VFPE are constrained only by the susceptibility tensor,  $\Delta X(y, z)\bar{\chi}$ . In particular, there is no prior identification of characteristic modes. In (C. Rino & Carrano, 2021) we showed that in a medium with gradients confined to the propagation direction, solutions to the two-dimensional VFPE can be constructed from superpositions of O and X characteristic modes. The characteristic modes are defined by the Appleton-Hartree equations as summarized in the (C. Rino & Carrano, 2021) Appendix.<sup>2</sup> The more general identification of characteristic modes in inhomogeneous media comes from ray theory, which starts with the assumption that the field can be approximated locally as

$$\mathbf{E}(y, z) = \mathbf{E}_0(y, z) \exp\{i\vartheta(y, z)\}, \quad (22)$$

where  $\mathbf{E}_0(y, z)$  varies slowly compared to the eikonal,  $\vartheta(y, z)$ .

Surfaces of constant  $\vartheta(y, z)$  identify wave fronts. Rays are paths normal to the wavefronts. Rays are identified by a formal minimization procedure that constructs the shortest paths connecting two points in the medium. The connecting rays are defined by their direction angles at the point of initiation. Introducing the susceptibility matrix leads to a quadratic equation whose roots identify the characteristic modes being traced.<sup>3</sup> To the extent that  $\vartheta = \mathbf{r} \cdot \mathbf{n}$  along the ray, the magnitude of  $\mathbf{n}$  defines the local refractive index. Ray theory shows as well that the fields associated with the characteristic modes have orthogonal elliptical polarizations.

Regarding comparisons between FPE realizations and ray theory, it has been observed that VFPE field structures respond to gradients in the propagation medium with local propagation direction changes. Spatial wavenumber intensity peaks identify local propagation directions. Lines connecting the tangent vectors are effectively ray paths (Carrano et al., 2020). To associate ray paths with characteristic modes the VFPE  $E_x$  and  $E_y$  field components are combined to extract orthogonal elliptically polarized field components. Formally,

$$E_M = E_x \pm S \cdot E_y. \quad (23)$$

where  $S = i$  or  $= 1$  for linear or circular incident polarization, respectively. Anticipating the association with characteristic modes, we let  $M = O$  and  $M = X$  as tentative mode associations.

Figures 4 and 5 summarize extensions of the Chapman layer result introduced in (C. Rino & Carrano, 2021) Figures 9 and 10.<sup>4</sup> The upper frames in Figures 4 and 5 show the intensities of the candidate mode fields constructed as described above. The lower frames show the corresponding spectral-domain intensities plotted against normalized spatial wavenumber. The  $\pm 1 \kappa_y/k$  range includes all propagating waves. The peak intensities of the extracted modes and their spectral decompositions can be associated with ray positions and directions, respectively. Discontinuous direction reversals identify the locations of surface reflections. The extracted peak intensities and directions are shown in Figure 6.

<sup>2</sup> In the VFPE coordinate system  $\mathbf{u}_B = [0, \sin(\phi_B), \cos(\phi_B)]$ . For a horizontal  $B$  field  $\phi_B = \pi/2$ .

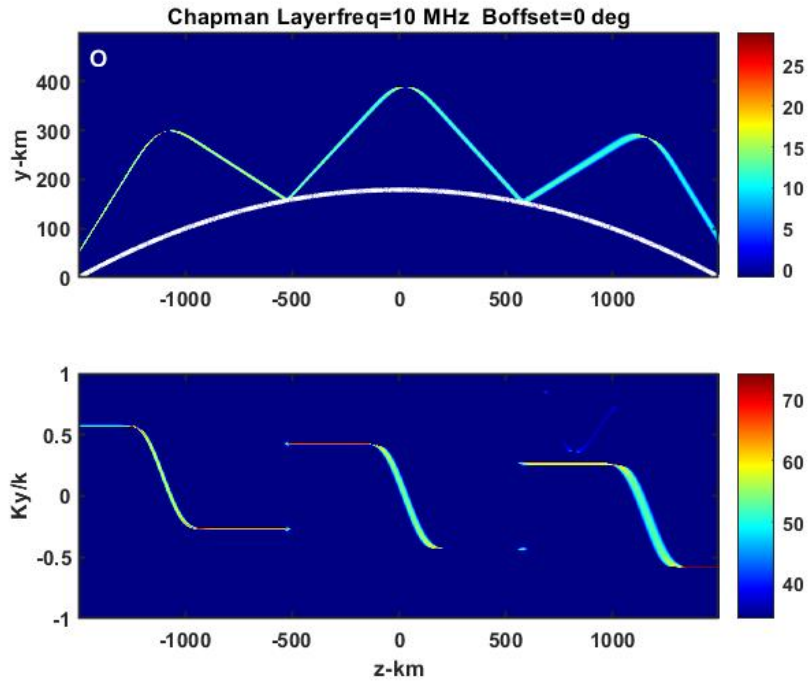
<sup>3</sup> See for example Equation (16) in (Coleman, 2008).

<sup>4</sup> The Chapman layer is defined as

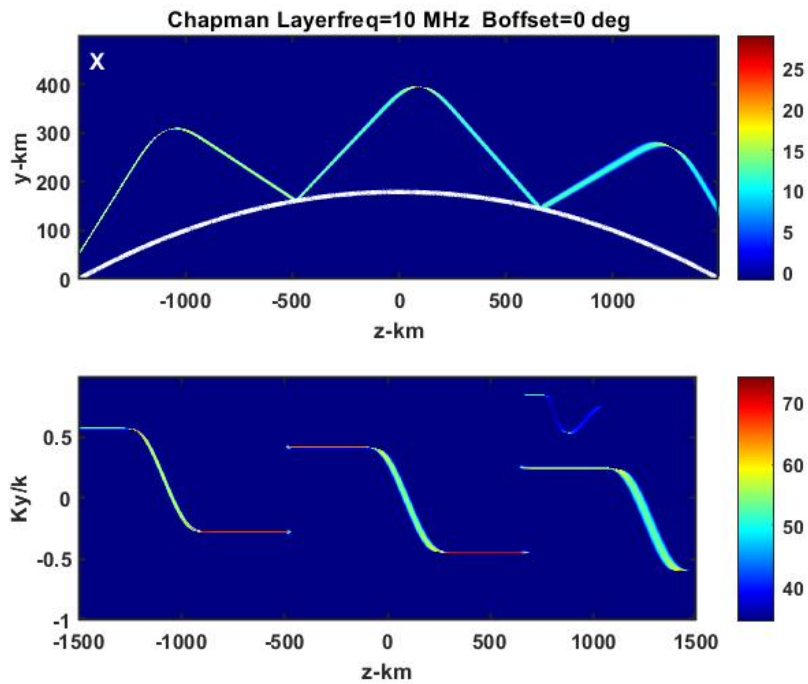
$$Ne = Nm \exp((1 - (h - Hm)/H0 - \exp(-(h - Hm)/H0)/2)) \quad (24)$$

with  $Nm = 10^{12} \text{ m}^{-3}$ ,  $Hm = 350 \text{ km}$ , and  $H0 = 50 \text{ km}$ . Height is measured radially from the spherical-earth surface intercept.

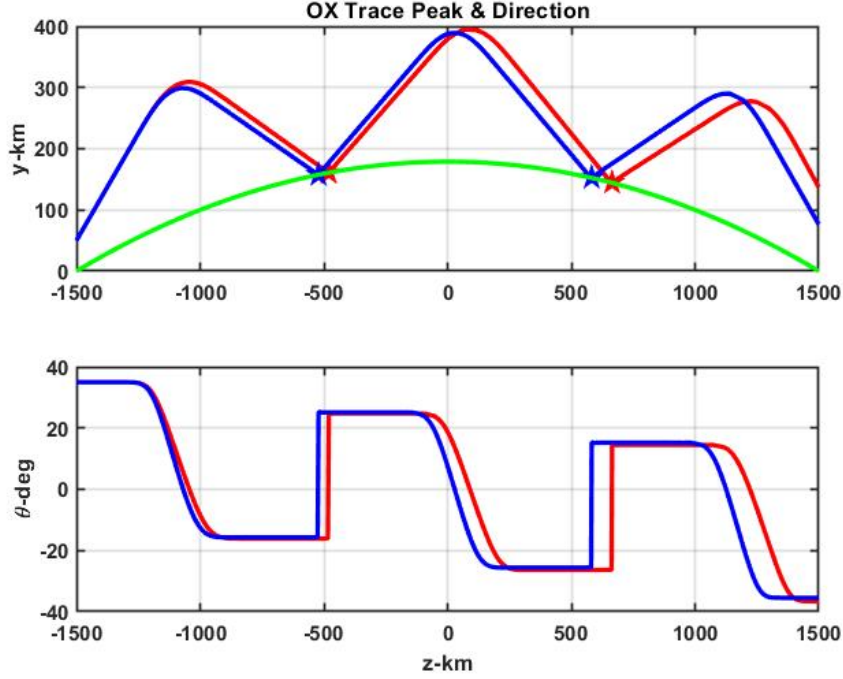




**Figure 4.** The upper frame shows the dB intensity of the elliptically polarized beam response identified as the O mode. The lower frame is the corresponding spectral intensity normalized to the wave vector magnitude in dB units.



**Figure 5.** The upper frame is the dB intensity opposite elliptically polarized beam response identified as the X mode. The lower frame is the corresponding spectral intensity normalized to the wave vector magnitude in dB units.



**Figure 6.** The upper frame shows the O mode (red) and X mode (blue) peaks. The lower frame shows the corresponding spectral-domain peaks plotted against propagation direction. The pentagrams mark the discontinuous spectral-domain changes in propagation direction.

219 Figure 7 shows a comparison of the VFPE O and X mode traces from the upper  
 220 frame of Figure 6 with ray-trace calculations from the PHaRLAP code.<sup>5</sup> The compar-  
 221 ison shows that the VFPE solutions (red) are not rotated by the ionosphere as strongly  
 222 as the ray-trace solutions (blue). Considerable care was taken to ensure that the geo-  
 223 metric translations and the parameter specifications are consistent. We believe the re-  
 224 sulting bias is real and can be attributed to an approximation made in deriving the VFPE  
 225 as follows.

226 The approximation used to evaluate Equation (24) in (C. Rino & Carrano, 2021)  
 227 is reproduced here as

$$k^2 \int \int \mathbf{S}(\vec{\eta}') \mathbf{G}(\vec{\eta}, \vec{\eta}') d\vec{\eta}' \simeq i \frac{k}{2} \mathbf{S}(\vec{\eta}), \quad (25)$$

228 where  $\mathbf{S}(\vec{\eta}) = \Delta X(\vec{\eta}, z) \bar{\chi} \mathbf{E}(\vec{\eta}, z)$

$$\mathbf{G}(\mathbf{r}, \mathbf{r}') = [\mathbf{I} + (1/k)^2 \nabla \nabla] G |\mathbf{r} - \mathbf{r}'| \quad (26)$$

229 is the dyadic Green function, and

$$G |\mathbf{r} - \mathbf{r}'| = \frac{\exp\{ik |\mathbf{r} - \mathbf{r}'|\}}{4\pi |\mathbf{r} - \mathbf{r}'|} \quad (27)$$

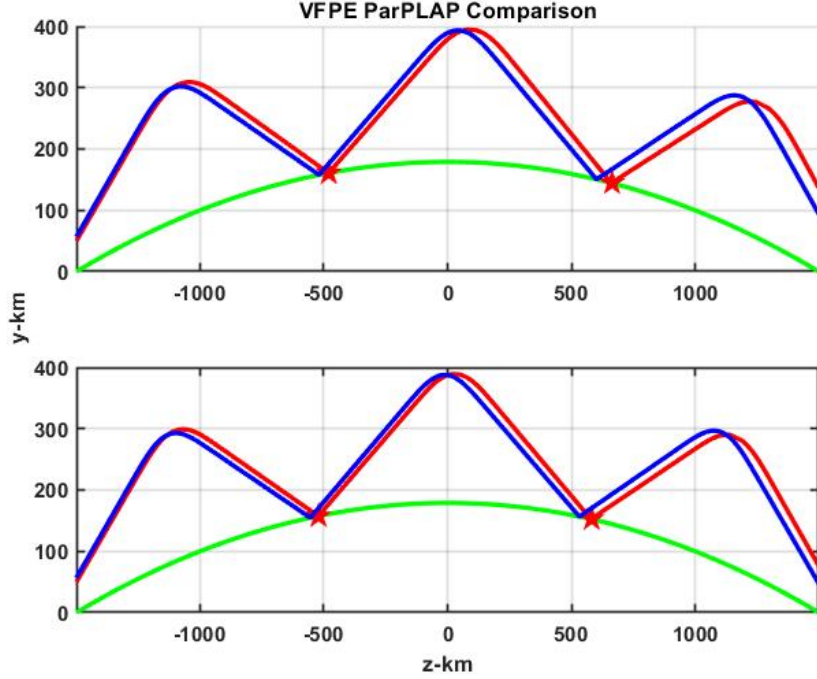
230 is the scalar Green function. The approximation follows from the observation that if  $\mathbf{S}(\vec{\eta}')$   
 231 is constant over the defining range of the Green function, the integration of the dyadic

<sup>5</sup> PHaRLAP is a 3-D magnetoionic Hamiltonian ray tracing engine developed by the Australian Defence Science and Technology Organisation (DSTO) (Cervera & Harris, 2014).

232 Green function can be evaluated as

$$\int \int \mathbf{G}(|\vec{\eta} - \vec{\eta}'|) d\vec{\eta}' = i/(2k). \quad (28)$$

233 Neglecting the variation of  $\mathbf{S}(\vec{\eta}')$  and applying (28) produces the VFPE induced-source  
 234 contribution.



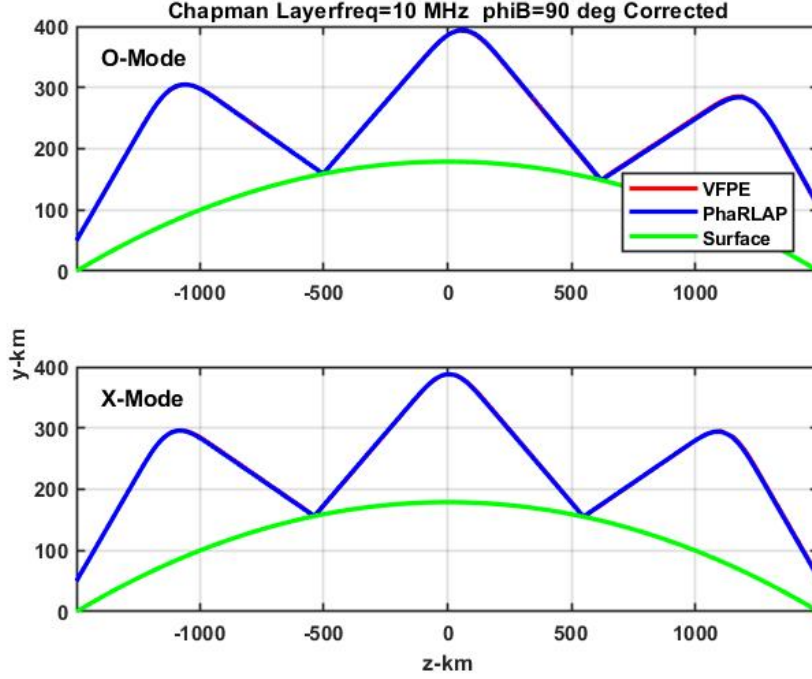
**Figure 7.** The upper frame compares the O-mode trace shown in the upper frame of Figure 23 (red) with the O-mode trace predicted by the PHaRLAP code (blue). The lower frame shows the same comparison for the X-mode trace and the PHaRLAP code prediction.

235 Validating the approximation is difficult. However, if the variable component,  $\Delta X(\vec{\eta})$ ,  
 236 is the leading term in a perturbation series, the corrected form of (25) would have  $\Delta X(\vec{\eta}) +$   
 237  $\Delta X(\vec{\eta})^2/2$ . Although the correction is purely conjectural, it is very effective. Figure 8  
 238 reproduces the comparison in Figure 7 with the corrected VFPE result. The PHaRLAP  
 239 raytrace and the VFPE results are indistinguishable on the scale plotted. The same agree-  
 240 ment is found when the magnetic field direction is varied from the horizontal direction  
 241 for the example shown.

242 To demonstrate that a disparity between ray-trace and VFPE results might be ex-  
 243 pected, consider the simplified form of the Haselgrove equations when  $B = 0$ . Equa-  
 244 tion (39) in (Coleman, 2008) is the  $B = 0$  limiting form, which is rewritten here as

$$n \frac{d^2 \mathbf{r}}{ds^2} + \frac{dn}{ds} \frac{d\mathbf{r}}{ds} = \nabla n, \quad (29)$$

245 where  $s$  represents distance along the ray, and  $n$  is a scalar refractive index. The result  
 246 is well known. Equation (3.2.1.2) in (Born & Wolf, 1999) follows a direct derivation from  
 247 the scalar wave equation. From (29) we see that an incremental ray-trace step starts with  
 248 the gradient of the refractive index, which is functionally similar to the phase pertur-  
 249 bation that initiates an FPE integration step. However, the FPE propagation step im-



**Figure 8.** Recalculation of VFPE result shown in Figure 7 with VFPE correction.

250 poses no further direction change. In (29) the curvature term,  $d^2\mathbf{r}/ds^2$ , imposes an ad-  
 251 dditional ray direction change from the direction of the refractive index gradient. Evidently,  
 252 the quadratic correction provides the additional VFPE directional change needed to match  
 253 the ray trace. For the scalar ionosphere,  $n = \sqrt{1 - (\omega/\omega_p)^2}$ . As a qualitative exten-  
 254 sion of the scalar ray theory, the Appleton-Hartree O and X refractive indices can be sub-  
 255 stituted for  $n$  in (29). Although the modified scalar theory is not accurate, it has been  
 256 used for interpreting HF diagnostic measurements (Tsai et al., 2010). As a general rule,  
 257 ray theory redirects a wavefront more strongly than an uncorrected separation of prop-  
 258 agation and media interaction.

259 Although unrelated to ionospheric redirection of rays, we note that surface reflec-  
 260 tions are accommodated by truncating rays that intercept the surface and initiating a  
 261 new ray in the direction reflected about the known surface normal. The VFPE reflec-  
 262 tion involves a translation that takes place over several kilometers. The direction changes  
 263 of the corrected VFPE rays coincide with the ray intercepts.

## 264 4 Summary and Future Applications

265 Our previous paper (C. Rino & Carrano, 2021) extended the scalar FPE to accom-  
 266 modate polarizations effects, which are important for HF propagation. This paper fur-  
 267 ther extends the development to accommodate boundary reflections. The formalism is  
 268 fully three-dimensional. However, computational requirements are reduced significantly  
 269 for two-dimensional propagation and a spherical-earth perfectly conducting boundary  
 270 surface. Near-wavelength sampling (2 wavelengths per sample) in the propagation di-  
 271 rection is necessary to reproduce specular surface reflections accurately. Critical sam-  
 272 pling (2 samples per wavelength) is a guide for FPE applications in general. We believe  
 273 the sampling used is adequate to accommodate stochastic structure, which will be ex-  
 274 plored in future applications.

275 With regard to ray tracing, we emphasized that both the wave equation and the  
 276 VFPE approximation are constrained only by the susceptibility matrix. There is no prior  
 277 identification of characteristic modes. We identified characteristic-mode candidates in  
 278 much the same way that they would be identified with real field measurements, namely  
 279 by extracting orthogonal field polarization components. We initiated the calculations with  
 280 contrived narrow beams concentrated in both direction and position. We showed that  
 281 the direction and position of the polarization-dependent fields could be measured through  
 282 ionospheric reflections and surface reflections.

283 The measured rays were compared to predictions using the PHaRLAP code. We  
 284 found that the PHaRLAP rays were consistently refracted more than the VFPE rays.  
 285 We attributed this bias to a VFPE approximation, which incorporates the media inter-  
 286 action as a phase perturbation. We found that a quadratic correction eliminated the bias,  
 287 although we have no rigorous derivation of the correction term. However, *any* applica-  
 288 tion of the scalar FPE or parabolic wave equation is subject to the bias, which suggests  
 289 replacing  $\Delta X$  with  $\Delta X + \Delta X^2/2$  whenever applications are used.

290 The development of a vector extension of scalar VFPE was undertaken to gener-  
 291 ate simulations for improved HF direction finding, communications, and diagnostics in  
 292 highly disturbed environments. Scalar simulations have been very effective for design-  
 293 ing robust GNSS systems (Xu et al., 2019). Simplified scalar FPE solutions underly the  
 294 simulations used for the evaluations. The VFPE is the starting point for similar HF sys-  
 295 tem evaluations.

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 298 FA8650-20-C-1950. All the results shown in the paper can be reproduced by implement-  
 299 ing the VFPE as summarized in algorithmic form. The HF propagation toolbox, PHaR-  
 300 LAP, can be downloaded from <https://www.dst.defence.gov.au/>.

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