

Propagation in Extended Highly Anisotropic Media

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Key Points:

- Configuration-space realizations are summations of field-aligned *striations* with parameter distributions that support two-component inverse power-law spectral characterization.
- Configuration-space realizations are well suited for studying propagation in extended highly anisotropic ionospheric media.
- This paper revisits the geometric dependence of propagation in highly anisotropic media with emphasis on structure models and interpreting diagnostic measurements.

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Abstract

Configuration-space realizations, which are summations of elemental magnetic-field-aligned striations, were introduced to explore propagation in extended anisotropic media. Global Navigation Satellite System (GNSS) measurements are of particular interest because the propagation paths from GNSS satellites to low-earth-orbiting satellites transition extended regions of ionospheric structure. Configuration-space realizations can have any orientation within the simulation volume. Rotating the structure relative to the principal propagation direction varies the propagation direction relative to the magnetic field direction. Realizations are generated as slice planes normal to the propagation direction, which can be used directly for split-step integration of the parabolic wave equation (PWE). Although the PWE imposes no constraint on coherence along the propagation path, most statistical theories impose such constraints. Configuration-space realizations preserve scale-dependent correlation along the propagation paths, which can be used to check theoretical results.

Equivalent-phase-screens simplify theoretical computations and simulations formally by applying the path-integrated structure as a single phase perturbation initiated at a central location in the structured region. Further simplification is realized by using a two-dimensional propagation model. The two-dimensional propagation model is attractive because it characterizes one-dimensional scans that can be compared directly to diagnostic measurements.

The configuration-space model supports more realistic realizations and provides a framework for interpreting in-situ and propagation diagnostics. This paper presents new results that explore the validity of equivalent-phase-screen models for interpreting diagnostic measurements.

1 Introduction

This paper uses configuration-space realizations of ionospheric structure to simulate complex fields generated by propagation through an extended structured region using split-step integration of the parabolic wave equation (PWE) followed by free-space propagation to a measurement plane. The results are compared to free-space propagation initiated by a path-integrated structure converted to an *equivalent* phase screen. Statistical phase-screen equivalence is demonstrated in Section 3. The statistical characteristics of striation configurations are completely defined by the structure in two-dimensional slice planes. A new analytic three-dimensional structure model completely specified by two-dimensional structure is introduced in Section 4. Section 5 revisits structure diagnostics based on structure path integrals. The results can be applied directly to stochastic total electron content (TEC) measurements or theoretical calculations. Section 6 reviews the three-dimensional phase-screen theory and evaluates a tractable two-dimensional phase screen model, which has been used successfully for diagnostic measurements and strong-scatter scintillation models.

Configuration-space models are described in C. Rino, Carrano, Groves, and Yokoyama (2018). By way of review, configuration-space realizations are generated by summing contributions from field-aligned *striations*. Striations are defined in magnetic-field-aligned coordinates $[\zeta, \vec{\eta}]$ where ζ is measured along field lines and $\vec{\eta}$ is measured in a plane normal to the field line at position η . Assuming that the magnetic field lines are parallel within the data-space volume, field-aligned coordinates are generated by applying a 3×3 matrix rotation to the data-space coordinates $[x, \vec{\rho}]$. Formally,

$$\begin{bmatrix} \zeta \\ s \\ t \end{bmatrix} = \begin{bmatrix} c_{11} & c_{12} & c_{13} \\ c_{21} & c_{22} & c_{23} \\ c_{31} & c_{32} & c_{33} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix}. \quad (1)$$

59 The matrix elements c_{ij} are functions of the magnetic field direction angles in the ref-
 60 erence coordinate system (See Appendix A).

61 A configuration-space realization of the electron density in the plane at $x = x_n$
 62 is constructed as follows:

$$\Delta N(x_n, Y, Z) = \frac{1}{N_s} \sum_{k=1}^{N_s} C(k) \sigma_k^{\gamma_k} p_{\perp} \left(\sqrt{(S + \eta_{S_k})^2 + (T + \eta_{T_k})^2} / \sigma_k \right), \quad (2)$$

63 where the upper-case notation indicates an ordered coordinate collections of all the com-
 64 binations of the contributing coordinate indices, sometimes referred to as a *mesh grid*.
 65 The parameters $C(k)$, σ_k , and γ_k determine the peak intensity, $C(k)\sigma_k^{\gamma_k}$, and size, σ_k ,
 66 of the k^{th} striation. The profile function $p_{\perp}(r)$ is zero for $r > \sigma_k$ and less than one for
 67 $r < \sigma_k$. The parameters η_{S_k} and η_{T_k} determine the random locations of each striation.

68 The geometric dependence of realizations generated by (2) is determined by the
 69 argument of $p_{\perp}(r)$. From the development in C. Rino, Carrano, et al. (2018), the asso-
 70 ciated cross-field one-dimensional spectral density function (SDF) is defined by the sum-
 71 mation

$$\Phi_{N_e}^{(1)}(q) = \frac{1}{N_s} \sum_{j=1}^J N^2(j) C^2(j) \sigma_j^{2\gamma_j+1} Q_j^{(1)}(q), \quad (3)$$

72 where J is the number of bifurcations, $N(j)$ is the number of striation replications at
 73 each scale, $N_s = \sum_1^J N(j)$ is the total number of striations, and

$$Q_k^{(1)}(q) = \left| \int_{-\infty}^{\infty} p_{\perp}(y/\sigma_k) \exp\{-iyq\} dy \right|^2. \quad (4)$$

74 The striation parameters are selected so that (3) provides a good approximation to the
 75 two-component power-law form ¹

$$\Phi_{N_e}^{(1)}(q) \simeq C_s^{(1)} \begin{cases} q^{-\eta_1} & \text{for } q \leq q_0 \\ q_0^{\eta_2 - \eta_1} q^{-\eta_2} & \text{for } q > q_0 \end{cases}. \quad (5)$$

76 Figure 1, which is taken from C. Rino, Carrano, et al. (2018), shows the theoretical one-
 77 dimensional SDF from (3) (red), an average of measured cross-field SDFs (blue), and the
 78 target SDF from (5). The relation between γ_n and η_n is $\gamma_n = 2\eta_n + 2$. The agreement
 79 validates the parameter selection, which is described in C. Rino, Carrano, et al. (2018).

80 2 Propagation Simulations

81 The data-space x coordinate is aligned with the propagation direction. The mag-
 82 netic field direction is determined by the orientation of the striation axis in the data space
 83 volume. Integration is initiated with a plane-wave at the entry yz plane. The forward
 84 propagation equation (FPE)

$$\frac{\partial \psi(x, \vec{\rho})}{\partial x} = \Theta_k \psi(x, \vec{\rho}) + ik \Delta n(x, \vec{\rho}) \psi(x, \vec{\rho}), \quad (6)$$

85 characterizes the evolution of the time-harmonic scalar electromagnetic field $\psi(x, \vec{\rho})$. The
 86 phase perturbation, $k \Delta n(x, \vec{\rho})$, is generated with a frequency-dependent scaling of the
 87 electron density structure. The leading term on the right-hand side advances the field
 88 in free space:

$$\Theta \psi(x; \vec{\rho}) = \iint \hat{\psi}(x; \vec{\kappa}) \exp\{i(\kappa \rho_F)^2 / 2\} \exp\{i\vec{\rho} \cdot \vec{\kappa}\} \frac{d\vec{\kappa}}{(2\pi)^2}. \quad (7)$$

¹ The C_s notation here refers to electron density structure, whereby $k \Delta n$ represents a phase perturba-
 tion.

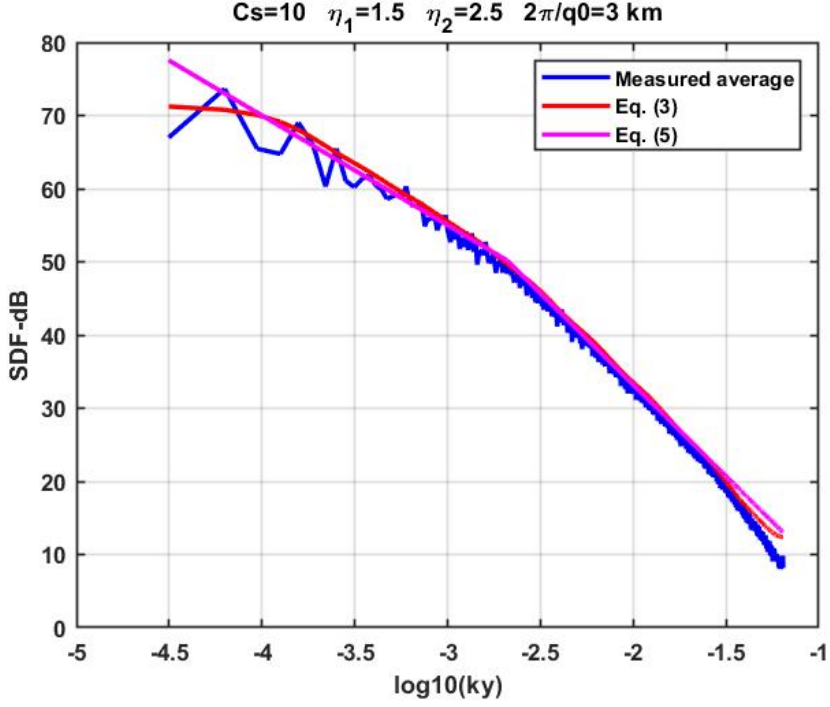


Figure 1. Measured and predicted one-dimensional cross-field SDFs.

89 where

$$\hat{\psi}(x; \vec{\kappa}) = \iint \psi(x; \vec{\rho}) \exp\{-i\vec{\kappa} \cdot \vec{\rho}\} d\vec{\rho} \quad (8)$$

90 is the two-dimensional Fourier decomposition of the slice plane field at $x = x_n$. The
91 Fresnel scale is defined as

$$\rho_F = \sqrt{x_p/k}, \quad (9)$$

92 where x_p is the propagation distance from x_n , and $k = 2\pi f/c$ is the plane-wave vec-
93 tor magnitude at frequency f . From (7), it follows that the same free-space field would
94 be observed at different frequencies and propagation distances that preserve ρ_F . A deriva-
95 tion of (6) can be found in Chapter 2 of C. L. Rino (2011).

96 For space-to-near-earth propagation paths it is convenient to use a fixed rectan-
97 gular east-west coordinate system with the x -axis pointing downward. In that case, the
98 PWE integration must accommodate the propagation direction relative to x . This is achieved
99 by introducing continuous coordinate system displacement along the ray connecting the
100 source with the plane of measurement. The SIGMA simulation developed by Deshpande,
101 Bust, Clauer, Rino, and Carrano (2014) uses this approach. However, to the extent that
102 the data volume boundary planes capture the structure, rotating the data space gives
103 identical results. The two complementary coordinate systems evolved from seminal pa-
104 pers by Budden (1964) and Briggs and Parkin (1962).

105 Split-step integration converts two-dimensional slice-plane realizations into local
106 phase perturbations, which are applied to the field at the starting point of the slice-plane
107 increment:

$$\psi^+(x, \vec{\rho}) = \psi(x, \vec{\rho}) \exp\{-2\pi i k K / f \Delta x \Delta N(x, \vec{\rho})\}. \quad (10)$$

108 The propagation operator advances $\psi^+(x, \vec{\rho})$ to the next slice plane, which completes the
109 split-step integration cycle. Split-step integration and the multiple-phase-screen (MPS)
110 method are procedurally identical. However, uncorrelated phase screens are often used

111 in MPS simulations. Uncorrelated phase screens are well matched to the classical the-
 112 ory, which presents a hierarchy of first-order differential equations that characterize the
 113 evolution of field moments in an extended medium. How local structure enters the the-
 114 oretical calculations remains an important consideration. Local two-dimensional struc-
 115 ture characterizations use the so called Markov approximation, which neglects correla-
 116 tion along the reference propagation direction. It is well established that MPS simula-
 117 tions with uncorrelated phase screens agree with classical theoretical results Knepp (1983).
 118 An alternative hybrid method developed by Gherm and Zernov (2015) avoids the Markov
 119 approximation.

120 3 Structure Evolution and Phase-Screen Equivalence

121 The striation configuration introduced in C. Rino, Carrano, et al. (2018) and sum-
 122 marized in Figure 1 was used for this study. The parameters are representative of equa-
 123 torial night-time structure as shown in C. Rino, Yokoyama, and Carrano (2018) and ref-
 124 erences cited therein. Realizations comprised of 8176 striations were generated with mag-
 125 netic field angles varying from Cross Field at 90° to Field Aligned at 0° . Striation lo-
 126 cations were uniformly distributed within the reference plane. The yz plane dimensions
 127 are 200×50 km with 4096×4096 samples. The size of the data volume was chosen to
 128 be representative of intercepted GPS structure. Tests were run to ensure that the sim-
 129 ulations were adequately sampled at the maximum disturbance levels. With $C_s = 10$,
 130 strong GPS L1 scintillation levels were generated in an observation plane at $x = 150$
 131 km. The distance was chosen to capture the onset of strong scintillation. Structure is
 132 intercepted between $x = -15$ km and $x = 15$ km. The phase-screen propagation dis-
 133 tance from the center of the region is $x = 150$ km. Four independent realizations were
 134 generated to explore the geometric dependence. Each realization used the same size dis-
 135 tribution, but with different magnetic field orientations. Using the polar angles defined
 136 in Appendix A, the realizations will be referred to as Cross Field ($\theta_b = 90^\circ$, $\psi_b = -90^\circ$);
 137 Oblique In-plane ($\theta_b = 60^\circ$, $\psi_b = -90^\circ$); Oblique Out-of-plane ($\theta_b = 45^\circ$, $\psi_b = -60^\circ$);
 138 and Field Aligned ($\psi = 0^\circ$).

139 For each realization split-step integration through the structured region as described
 140 Section 2 was performed followed by free-space propagation to $x = 150$ km. A second
 141 realization was constructed using the path-integrated phase applied at $x = 0$ to initi-
 142 ate an equivalent phase-screen simulation. The phase screen free-space propagation sam-
 143 pling was adjusted to coincide with the PWE free-space sampling for direct comparison.
 144 Fractional moments

$$F(m) \equiv \langle I(y, z)^m \rangle / \langle I(y, z) \rangle^m, \quad (11)$$

145 were computed for each integration and free-space propagation step. Because the FPE
 146 equations conserve intensity, verifying that $\langle I(y, z) \rangle = F(1) = 1$ provides a compu-
 147 tation integrity check. The intensity scintillation index is defined as $S4 = \sqrt{F(2) - 1}$.
 148 All the intensity samples in each two-dimensional realization were used in the fractional
 149 moment computations.

150 Figures 2, 3, 4, and 5 summarize the intensity structure evolution. The solid red
 151 lines in the left frames show the $S4$ estimates from split-step integration. The open red
 152 circles show the $S4$ estimates from the subsequent free-space propagation. The phase-
 153 screen calculation is implemented by bypassing the propagation step, whereby each field
 154 increment is a phase perturbation. At the exit plane the field is propagated from $x =$
 155 0 to 150 km. The middle and right frames show intensity and phase realizations derived
 156 from the $z = 0$ scan of the complex field in the observation plane at $x = 150$ km, which
 157 includes 135 or 150 km free-space propagation, respectively. Continuous phase records
 158 are constructed by removing 2π phase jumps.

159 The free-space $S4$ intensity evolution is nearly identical for the PWE field and the
 160 phase-screen field. Moreover, the intensity and phase structure from central scans in the

161 observation planes are empirically similar. Evidently, the dominant statistical variation
 162 is determined by the overall structure distribution rather than where structures are in-
 163 tercepted within the disturbed region. SDFs will be compared in Section 6.

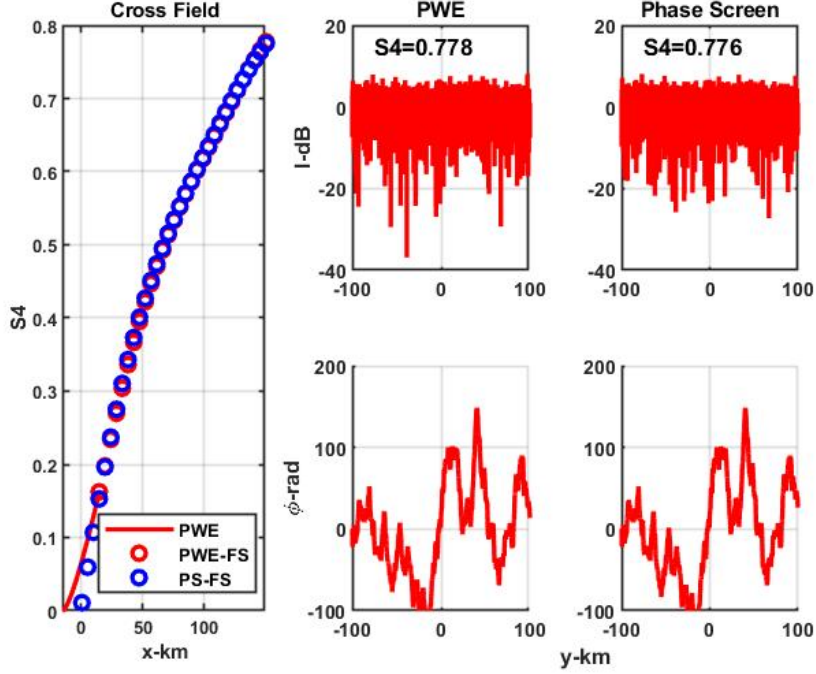


Figure 2. Cross Field summary. Left frame shows S_4 for split-step (red) and phase-screen (blue). Middle and right frames show split-step and phase screen intensity and phase from central observation-plane scan.

164 The Field Aligned realization shows much more complicated intensity and phase
 165 patterns. The Field Aligned path-integrated structure is a scaled version of any one of
 166 the identical slice contributions. Although it is well known that coherent path integra-
 167 tion enhances scintillation, the fact that the enhancement is realized with an equivalent
 168 phase screen was not expected. The structure of the Field-Aligned realization appears
 169 to be driven by large cumulative phase gradients rather than cumulative diffraction ef-
 170 fects.

171 To explore this further, the upper frame of Figure 6 shows the Field Aligned in-
 172 tensity in the observation plane. The intensity pattern shows a fairly uniform background
 173 with a complex pattern of local intensity reductions. The fractional moments beyond $m =$
 174 2 are much larger than $F(m) = m!$, which corresponds to an exponential distribution.
 175 This implies large departures from Gaussian intensity statistics. The lower frame shows
 176 a zoomed square region. The individual striations are cylindrical but overlapping, whereby
 177 intricate diffraction patterns are created. However, because of the ionosphere refractive
 178 index decreases with increasing density, local lens-like action tends to deflect rays away
 179 from the most dense regions, which explains intuitively how the deep field intensity re-
 180 ductions are created. The phase structure appears to contain uncorrected phase jumps.
 181 Even so, the sampling is adequate to support the PWE calculation of the complex field.
 182 Whether these results could be reproduced with real measurements is problematic be-
 183 cause strict invariance along field lines is an idealization. The model can accommodate

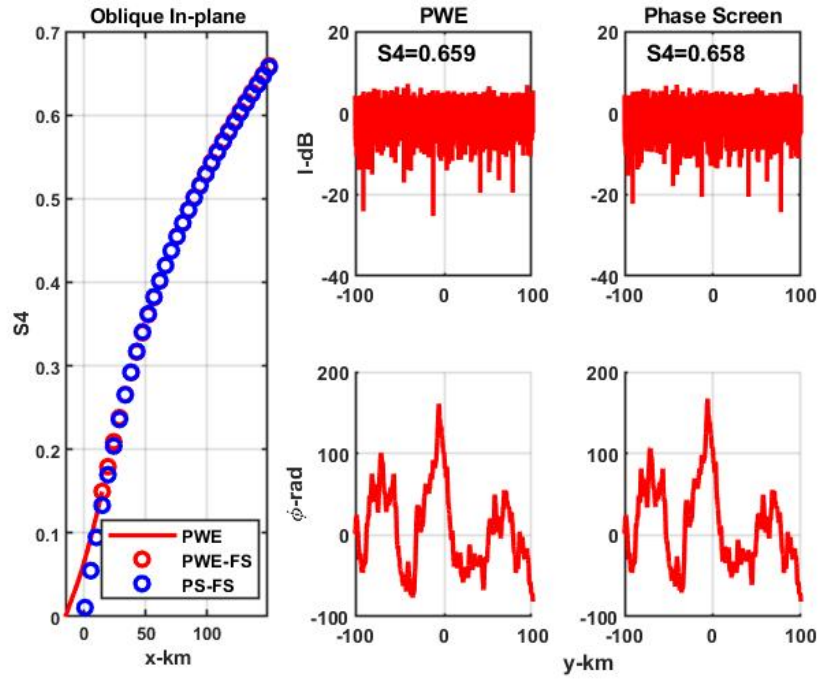


Figure 3. Oblique In-plane summary. Left frame shows $S4$ for split-step (red) and phase-screen (blue). Middle and right frames show split-step and phase screen intensity and phase from central observation-plane scan.

184 field-line curvature and field-aligned density variation as improved structure models pro-
 185 vide guidelines.

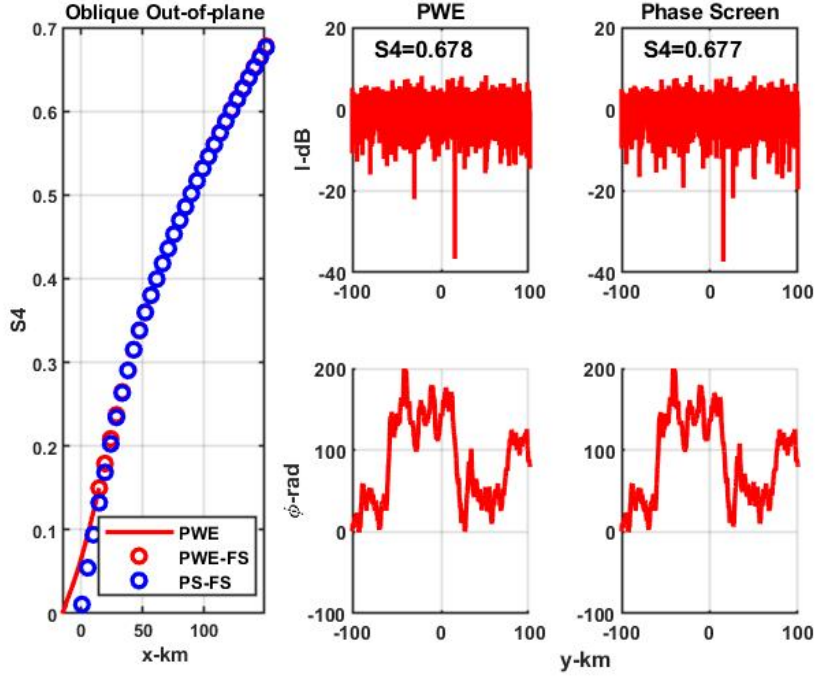


Figure 4. Oblique Out-of-plane summary. Left frame shows S_4 for split-step (red) and phase-screen (blue). Middle and right frames show split-step and phase screen intensity and phase from central observation-plane scan.

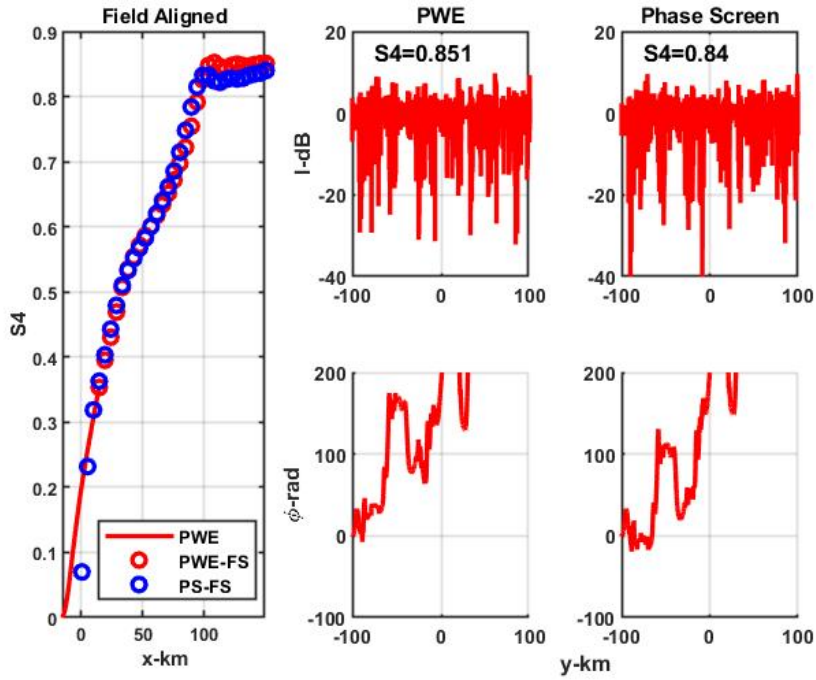


Figure 5. Field Aligned summary. Left frame shows S_4 for split-step (red) and phase-screen (blue). Middle and right frames show split-step and phase screen intensity and phase from central observation-plane scan.

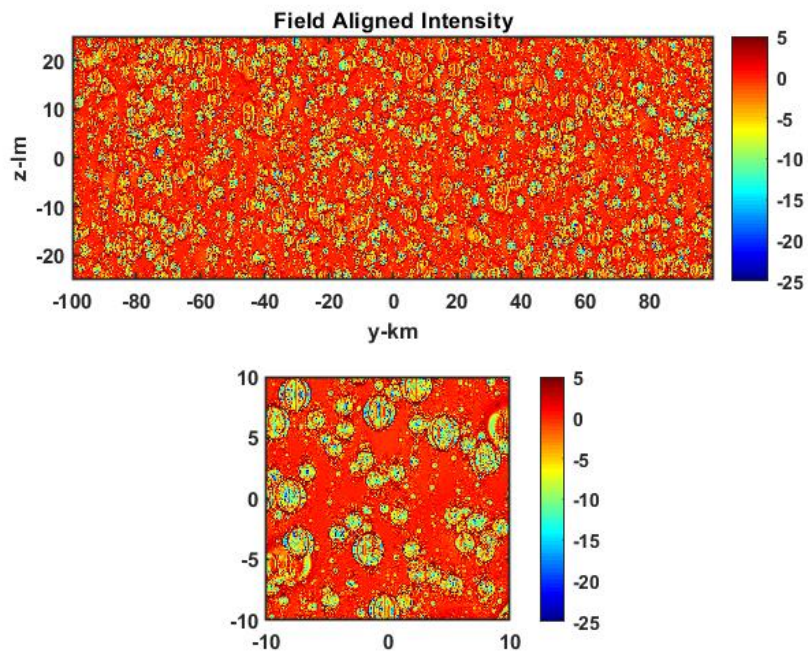


Figure 6. Upper frame shows Field Aligned intensity in observation plane. Lower frame is zoomed view with square axes

4 A New Ionospheric Structure Model

Ionospheric structure models provide a parameterized analytic characterization of the three-dimensional ionospheric electron density structure. The most commonly used statistical measure is an SDF, which is formally the expectation of a spatial Fourier decomposition of the structure. Configuration-space realizations defined by (3) are fully three dimensional. The uniform spatial distribution of the striations guarantees well-defined averages of statistical measures. The one-dimensional SDF, which is reproduced here as (3), is used as a defining relation to make direct comparisons to one-dimensional in situ diagnostic measurements.

With the configuration parameters specified, two-dimensional and three-dimensional SDFs can be calculated as follows:

$$\Phi_{N_e}(\kappa_x, \vec{\kappa}) = \frac{1}{N_s} \sum_{j=1}^J N^2(j) C^2(j) \sigma_j^{2\gamma_j + (3-n)/2} Q_j(\kappa_x, \vec{\kappa}), \quad (12)$$

where the dimension $n = 1, 2, \text{ or } 3$. The integrations in

$$Q_k(\kappa_x, \vec{\kappa}) = \left| \int \cdots \int p_{\perp}(\sqrt{(s^2 + t^2)}/\sigma_k) \exp\{-i(x\kappa_x + \vec{\rho} \cdot \vec{\kappa})\} dx d\vec{\rho} \right|^2. \quad (13)$$

are defined consistently. The cross-field variables, s and t , are functions of x, y , and z as defined by the second and third rows in (1). The factor $\sigma_j^{2\gamma_j + (3-n)/2}$ establishes SDF dimensions such that integration over all spatial wavenumbers reproduces electron-density variance. The defining one-dimensional SDF of a y scan can be computed alternatively by integrating the three-dimensional SDF over the unscanned wavenumbers:

$$\Phi_{N_e}^{(1)}(\kappa_y) = \iint \Phi_{N_e}(\kappa_x, \kappa_y, \kappa_z) \frac{d\kappa_z}{2\pi} \frac{d\kappa_x}{2\pi}. \quad (14)$$

Although a configuration-space realization is fully three dimensional as is the Fourier transform in (13), the stochastic structure is defined by the two-dimensional cross-field SDF. For cross-field realizations, which have no variation along z , and field-aligned realizations, which have no variation along x , the following formal results show the defining relation in terms of two-dimensional SDFs directly:

$$\Phi_{N_e}(\kappa_x, \vec{\kappa}) = \begin{cases} \Phi_{N_e}(\kappa_x, \kappa_y) 2\pi \delta(\kappa_z) \\ \Phi_{N_e}(\kappa_y, \kappa_z) 2\pi \delta(\kappa_x) \end{cases} \quad (15)$$

Because of the cylindrical symmetry of the profile functions and their uniform distribution, the two-dimensional isotropic cross-field SDFs are identical.

The upper frame of Figure 7 shows $\Phi_{N_e}(\kappa_x, \kappa_y, 0)$ as computed from (12) for the cross-field geometry. For the non-zero κ_z values, $\Phi_{N_e}(\kappa_x, \kappa_y, \kappa_z) = 0$, which is in agreement with the upper form of (15). The lower frame shows calculations of the one-dimensional SDF from (3) (blue) and from a numerical integration of the three-dimensional SDF (red) defined by (12). The following two-dimensional analytic form reproduces two-dimensional and one-dimensional relations:

$$\Phi_{N_e}(\vec{\kappa}) \simeq C_s^{(2)} \begin{cases} \kappa^{-p_1} & \text{for } \kappa \leq \kappa_0 \\ \kappa_0^{p_2 - p_1} \kappa^{-p_2} & \text{for } \kappa > \kappa_0 \end{cases}, \quad (16)$$

where $p_n = \eta_n + 1$.

Upon applying the coordinate transformation summarized in Appendix A, (16) becomes an alternative to the model introduced in Chapter 3.1.4 of C. L. Rino (2011) for the three-dimensional electron density SDF. The earlier model starts with a three-dimensional isotropic form with transformations that generate ellipsoidal contours of constant correlation or products of along-field and cross-field SDF components. The configuration-space model is *defined* in the spectral domain over a finite range of contributing scales.

223 Consequently, only the defining SDF parameters and the magnetic field direction need
 224 be specified. The largest and smallest contributing scales determine the contributing scale
 225 range. The current model has no field-aligned variation within the data space.

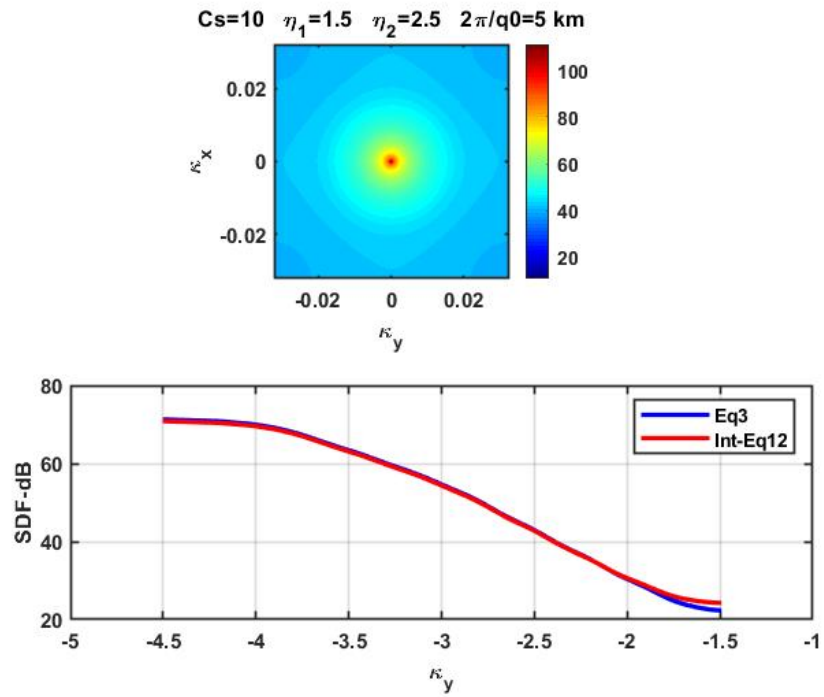


Figure 7. The upper frame shows the two-dimensional spectral density function computed from (12) for the Cross Field geometry. The lower frame shows the agreement of two calculations of the one-dimensional spectral density function.

5 Propagation Models

Propagation diagnostics are effectively one-dimensional time series generated by the combined effects of the motion of the propagation path and the motion of the structure. It is generally assumed that intermediate-scale structure from tens of kilometers to hundreds of meters is frozen over measurement intervals, whereby time-to-space conversion defines a linear scan of a diffraction pattern. The structure drift and the propagation distance to the structured region are generally not known. However, data-space realizations over a range of structure orientations provide a framework for exploring propagation diagnostics.

Complex-field measurements provide the signal intensity and phase diagnostics. Navigation satellite phase measurements are routinely converted to TEC. The following model is used to convert continuous phase to TEC:

$$\Delta\phi(\vec{\rho}) = -2\pi K/f \int_0^L \Delta N_e(x, \vec{\rho}) dx, \quad (17)$$

where $K = 1.3454 \times 10^9 \text{ m}^2/\text{s}$ and L is a measure of the structure extent. Invoking phase-screen equivalence, the complex field

$$\psi = \exp\{i\Delta\phi(\vec{\rho})\} \quad (18)$$

captures the interaction of the field with the structured ionosphere. Intensity structure develops as the field propagates in free space, whereby scaled TEC is the initiating structure from which intensity scintillation evolves.

In Appendix B is shown that the two-dimensional SDF of the path-integrated phase can be computed as follows:

$$\Phi_{\Delta\phi}(\vec{\kappa}) = (2\pi KL/f)^2 \int_{-\infty}^{\infty} \frac{\sin^2(\kappa_x L/2)}{(\kappa_x L/2)^2} \Phi_{N_e}(\kappa_x, \vec{\kappa}) \frac{d\kappa_x}{2\pi}. \quad (19)$$

If the κ_z variation is uniform over the spatial wavenumber range to $2\pi/L$, which implies decorrelation of the structure along the propagation path,

$$\Phi_{\Delta\phi}(\vec{\kappa}) \simeq (2\pi KL/f)^2 \Phi_{N_e}(0, \vec{\kappa}). \quad (20)$$

This is the usual assumption for interpreting scintillation measurements. However, numerical integration of (19) shows that the path-integrated structure can be more complicated.

Figure 8 summarizes the path-integrated structure for the Cross Field, Oblique Out-of-plane, and Field Aligned configurations. The Cross Field structure has no variation along the z axis, which lies along the magnetic field direction. The oblique geometry has significant yz variation. The Field Aligned geometry reproduces a scaled version of the unchanging two-dimensional structure. Figure 9 shows the corresponding SDFs derived from (16) with transformations that accommodate the field orientation. The cross-field SDF is one-dimensional and confined to $K_z = 0$. The Oblique Out-of-plane SDF has tilted elliptical contours of constant spectral intensity. The Field Aligned SDF is isotropic, and identical to the upper frame of Figure 7, which was derived directly from (12).

To the extent that TEC can be measured directly and allowing for time-to-space conversion, one-dimensional TEC SDFs can be constructed. Figure 10 shows the one-dimensional SDFs derived by numerically integrating (19). The cross-field variation reproduces the canonical phase SDF, namely the κ_y variation of (16), whereby there is a direct relation between measured and structure model parameters. For oblique geometries the varying correlation along the propagation path significantly changes the relation between the in situ SDF and the measured one-dimensional SDFs. The ramifications for propagation diagnostics is discussed in the next section.

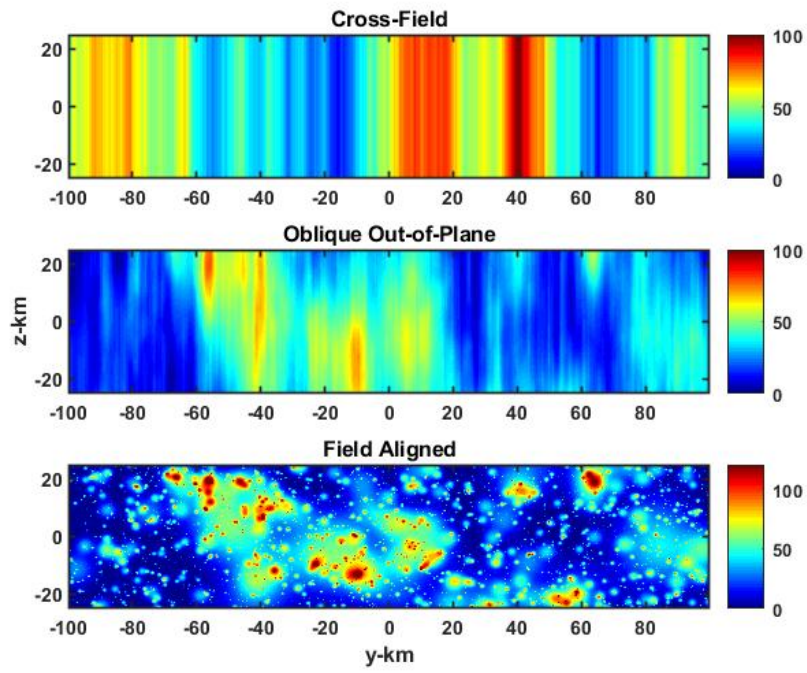


Figure 8. Path-integrated intensity realization examples.

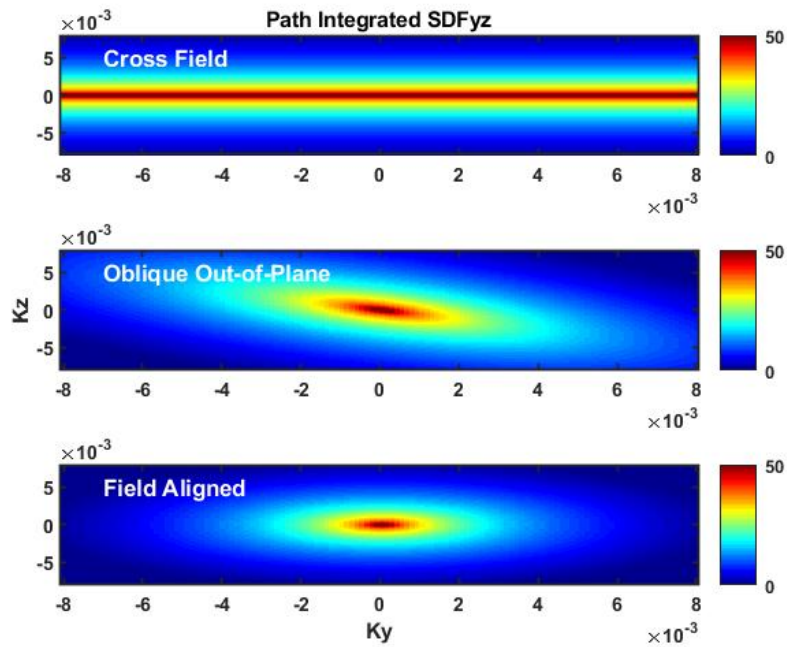


Figure 9. Path-integrated two-dimensional spectral density function (SDF) from 19.

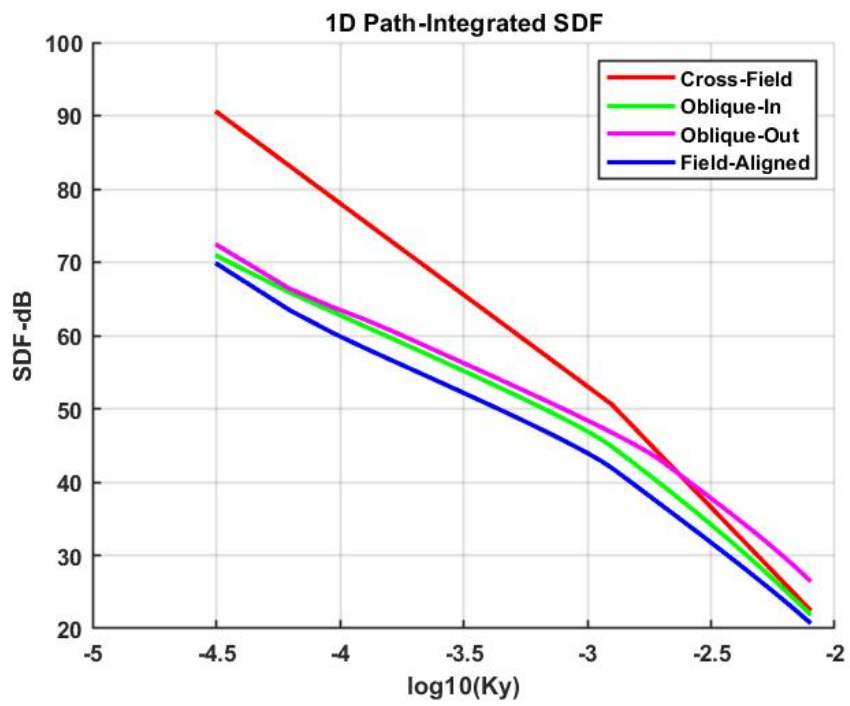


Figure 10. One-dimensional y spectral density function (SDF)

6 Propagation Diagnostics

Phase-screen equivalence greatly simplifies the interpretation of propagation diagnostics. Irrespective of the phase statistics, as long as the structure has sufficient homogeneity to support an SDF characterization, $\Phi_{\Delta\phi}(\vec{\kappa})$, the intensity SDF in the measurement plane can be computed as follows:

$$\Phi_I(\vec{\kappa}) = \iint [\exp\{-Lk^2g(\vec{\alpha}, \vec{\kappa}\rho_F^2)\} - 1] \exp\{-i\vec{\kappa} \cdot \vec{\alpha}\} d\vec{\alpha}. \quad (21)$$

where

$$g(\vec{\alpha}_1, \vec{\alpha}_2) = 8k^2 \iint \Phi_{\Delta\phi}(\vec{\kappa}) \sin^2(\vec{\kappa} \cdot \vec{\alpha}_1/2) \sin^2(\vec{\kappa} \cdot \vec{\alpha}_2/2) d\kappa/(2\pi)^2, \quad (22)$$

and

$$SI^2 = \iint \Phi_I(\vec{\kappa}) d\vec{\kappa}/(2\pi)^2. \quad (23)$$

A derivation can be found in Chapter 3.4 of C. L. Rino (2011). Although the problem is well posed, a nested double integration is required to calculate $\Phi_I(\vec{\kappa})$ with another integration to calculate the one-dimensional SDF. At the present time there is no tractable means of using these results directly for interpreting intensity scintillation diagnostics. Under weak-scatter conditions the result converges to the well known weak-scatter result that has been used, together with (20), for interpreting most diagnostic measurements.

A tractable two-dimensional propagation theory was developed by Carrano and Rino (2016). The two-dimensional theory is formally obtained from (21) when

$$\Phi_{\Delta\phi}(\vec{\kappa}) = 2\pi\delta(\kappa_z)\Phi_{\Delta\phi}(\kappa_y), \quad (24)$$

which applies to the Cross Field realization shown in the upper frame of Figure 19. For oblique geometries it is tacitly assumed that the structure can be characterized by an equivalent phase-screens as well. Irregularity parameter estimation (IPE) procedures varies phase-screen parameters to provide a best fit to a measured intensity SDF C. Rino and Carrano (2018). The existence of an equivalent phase screen can be demonstrated by back propagating the complex field to minimize the intensity scintillation. Back propagation was demonstrated by Carrano, Groves, Delay, and Doherty (2014). The two-dimensional form of (7) is

$$\Theta\psi(x; y) = \int \hat{\psi}(x; \kappa) \exp\{i\kappa^2 x_p/(2k)\} \exp\{iy\kappa\} \frac{d\kappa}{2\pi}. \quad (25)$$

Back propagation applies (25) with increasing negative x_p values until the intensity fluctuation is minimized.

Back propagation was applied to the one-dimensional complex fields extracted from all four realizations. No significant intensity structure reduction was obtained for the isotropic Field Aligned realization. Figure 11 shows the reconstructed phase from the back-propagated complex fields (red), which are overlaid on the path-integrated phase (blue). The minimum $S4$ is shown on each plot. The minimum value of $S4$, ideally zero, is a quantitative measure of phase-screen equivalence. The back-propagated phase differences are smallest for the oblique geometries, whereas the largest $S4$ reduction is achieved with the Cross Field realization. The back-propagation distances to intensity minima, are 147.84 km Cross Field, 157.99 km Oblique In-plane, and 157.35 km Oblique Out-of-plane, are close to the nominal phase-screen distance of 150 km.

The back-propagated results show that as long as the propagation direction is not close to field alignment, an equivalent phase screen can be constructed with back-propagated phase close to the path-integrated phase. Regarding parameter estimation, the upper frame of Figure 12 shows the z average of measured one-dimensional intensity SDFs in the measurement plane. The expectation SDF should not change with z . However, the differing fluctuation levels reflect the varying z correlation. Because of the intrinsic low-pass filtering of the intensity structure, periodogram estimation can be applied directly.

309 The lower frame shows the correspond phase SDFs. Prior to periodogram computation,
 310 the linear component connecting the phase end points was subtracted. The blue over-
 311 lay is derived from a segmented log-log linear fit. The spectral index estimates, $p_1 =$
 312 2.2 and $p_2 = 3.0$, are reasonably close to the expected values $p_1 = 2.5$ and $p_2 = 3.5$.

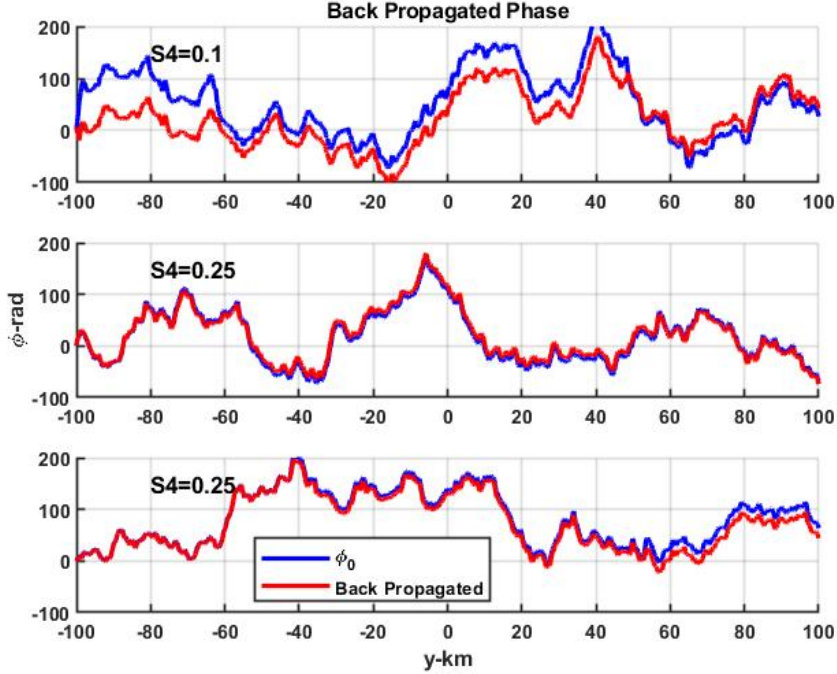


Figure 11. Back propagated phase (red) with path-integrated phase scan overlaid (blue). Text is minimum $S4$. Upper frame is Cross Field, middle frame is Oblique In-Plane, lower frame is Oblique Out-of-Plane.

313 The results suggest that the SDF of the back-propagated phase reproduces the re-
 314 sult that would be expected if contributions to the path integration are uncorrelated. How-
 315 ever, to the extent that the phase-screen SDF is determined by (19), we would expect
 316 the back-propagated phase to vary as shown in Figure 10). It appears that propagation
 317 effects within the layer, which were not considered in (19), are influencing the result.
 318 The argument is that uncorrelated results only apply to the large-scale, which maps di-
 319 rectly onto the phase. For that scale range,

$$\Phi_{\Delta\phi}(\vec{\kappa}) \simeq (2\pi KL/f)^2 \int_{-\infty}^{\infty} \Phi_{N_e}(\kappa_x, \vec{\kappa}) \frac{d\kappa_x}{2\pi}, \quad (26)$$

320 applies. For smaller-scale structure affected by diffraction (20) applies. A similar argu-
 321 ment has been applied to justify the use of the Markov approximation to derive field mo-
 322 ment equations. Under phase-screen equivalence, correlated large-scale structure is un-
 323 affected by diffraction. Only uncorrelated small-scale structure is influencing cumula-
 324 tive development of intensity scintillation and the phase structure that supports it.

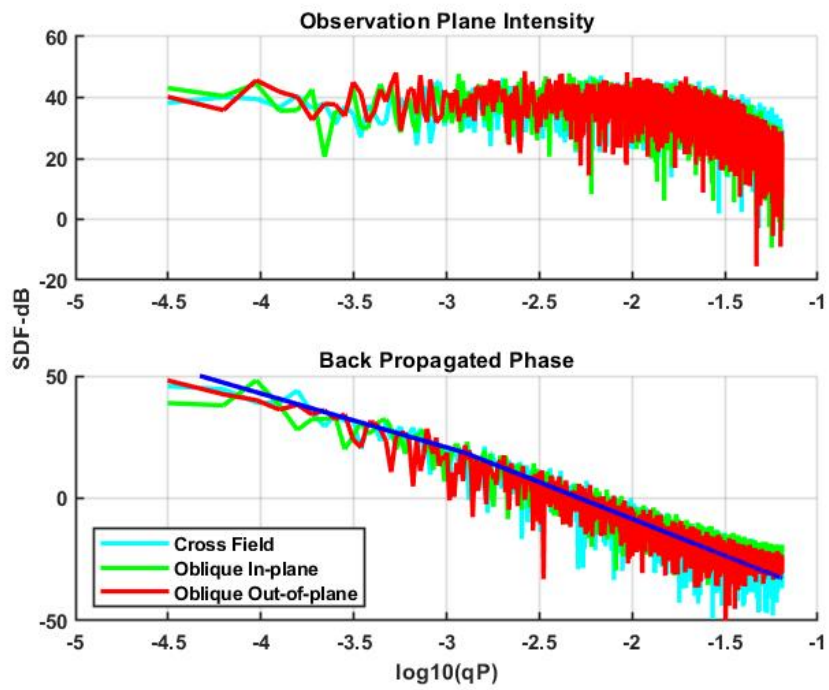


Figure 12. Each frame shows the back-propagated phase (red) overlaid on the phase derived from the PWE integration (red)

7 Summary and Discussion

This paper used configuration-space realizations to explore the geometric dependence of propagation through extended ionospheric structure. The study was undertaken to investigate the validity of the equivalent-phase-screen model, which was introduced in the seminal paper H. G. Booker, Ratcliffe, and Shinn (1950). A later paper made theoretical comparisons between extended-media and phase-screen theories H. Booker, Ferguson, and Vats (1985). Variants of the phase-screen model are invariably used in scintillation models such as WBMOD Secan, Bussey, Fremouw, and Basu (1995), PBMOD Retterer (2010), and Wernik, Alfonsi, and Materassi (2007). Our results show the phase screen model is surprisingly robust. It can be used to extend models to accommodate strong scatter although extreme scattering conditions have yet to be investigated.

In Section 4 a new three-dimensional electron density SDF model was introduced for field-aligned structure. Models based on analytic forms that admit closed-form integration, such as Shkarofsky (1968), necessarily introduce a number of parameters that have no impact on the structure defined by the largest and smallest striation scales. The electron density SDF model was developed to facilitate theoretical calculations of measurable quantities, most directly TEC. In Section 5 it was shown that one-dimensional stochastic TEC SDFs are sensitive to the orientation of the path integration relative to the magnetic field. It might be expected that this dependency would carry over to the intensity scintillation SDF. However, fully three-dimensional calculations to explore this dependency remain intractable.

Section 6 explored propagation diagnostics derived from one-dimensional measurements of the complex field. There is no theory that addresses phase directly, but the phase-screen theory provides an analytic connection between an *equivalent* phase screen and measurable intensity structure. By using back propagation we were able to show that there is a *equivalent* one-dimensional phase screen as long as the propagation direction is not approaching field alignment. Furthermore, we found the shape of the equivalent phase-screen SDF is insensitive to oblique geometry, whereby the standard phase-screen scintillation can be used. The results are encouraging in that they support IPE applications Carrano, Rino, and Groves (2017) and GPS phase-screen models C. Rino, Breitholtz, et al. (2018).

A Rotation Matrix

Let \vec{u}_b represent a unit vector along the magnetic field direction in the data space coordinate system. In terms of polar angles

$$\vec{u}_b = [\cos(\theta_b), \sin(\theta_b) \cos(\phi_b), \sin(\theta_b) \sin(\phi_b)]. \quad (\text{A.1})$$

The 3×3 matrix C that transform $C\vec{u}_b$ to a unit vector rotates a vector in the data space to a field-aligned coordinate system with principal axes aligned with \vec{u}_b . The elements are

$$c_{11} = \cos(\theta) \quad (\text{A.2})$$

$$c_{12} = \sin(\theta) \cos(\psi) \quad (\text{A.3})$$

$$c_{13} = \sin(\theta) \sin(\psi) \quad (\text{A.4})$$

$$c_{21} = -\sin(\theta) \quad (\text{A.5})$$

$$c_{22} = \cos(\theta) \cos(\psi) \quad (\text{A.6})$$

$$c_{23} = \cos(\theta) \sin(\psi) \quad (\text{A.7})$$

$$c_{31} = -\sin(\psi) \quad (\text{A.8})$$

$$c_{32} = \cos(\psi) \quad (\text{A.9})$$

$$c_{23} = 0 \quad (\text{A.10})$$

$$(\text{A.11})$$

B Derivation of (19)

Let

$$\Delta N_e(x, \vec{\rho}) = \int_{-\infty}^{\infty} \iint \widehat{\Delta N}(\kappa_x, \vec{\kappa}) \exp\{-i\kappa_x x\} \exp\{-i\vec{\kappa} \cdot \vec{\rho}\} \frac{d\vec{\kappa}}{(2\pi)^2} \frac{d\kappa_x}{2\pi}. \quad (\text{B.1})$$

Using the formal property

$$\iint \exp\{-i(\vec{\kappa} - \vec{\kappa}') \cdot \vec{\rho}\} d\vec{\rho} = (2\pi)^2 \delta(\vec{\kappa} - \vec{\kappa}'), \quad (\text{B.2})$$

it follows that

$$\widehat{\Delta \phi}(\vec{\kappa}) = -2\pi K/f_c \int_{-\infty}^{\infty} \widehat{\Delta N}(\kappa_x, \vec{\kappa}) \int_0^L \exp\{-i\kappa_x x\} dx \frac{d\kappa_x}{2\pi} \quad (\text{B.3})$$

The integral over x can be evaluated analytically:

$$\int_0^L \exp\{-i\kappa'_x x\} dx = \exp\{-i\kappa_x L/2\} L \sin(\kappa_x L/2) / (\kappa_x L/2), \quad (\text{B.4})$$

which reduces the two-dimensional Fourier transform to

$$\widehat{\Delta \phi}(\vec{\kappa}) = -(2\pi K/f_c) L \int_{-\infty}^{\infty} \exp\{-i\kappa_x L/2\} \sin(\kappa_x L/2) / (\kappa_x L/2) \widehat{\Delta N}(\kappa_x, \vec{\kappa}) \frac{d\kappa_x}{2\pi}. \quad (\text{B.5})$$

Using the uncorrelated increments property of $\widehat{\Delta N}(\kappa_x, \vec{\kappa})$,

$$\left\langle \left| \widehat{\Delta \phi}(\vec{\kappa}) \right|^2 \right\rangle = (2\pi K/f_c)^2 L^2 \int_{-\infty}^{\infty} \sin^2(\kappa_x L/2) / (\kappa_x L/2)^2 \Phi(\kappa_x, \vec{\kappa}) \frac{d\kappa_x}{2\pi}. \quad (\text{B.6})$$

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Enter acknowledgments, including your data availability statement, here.

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